

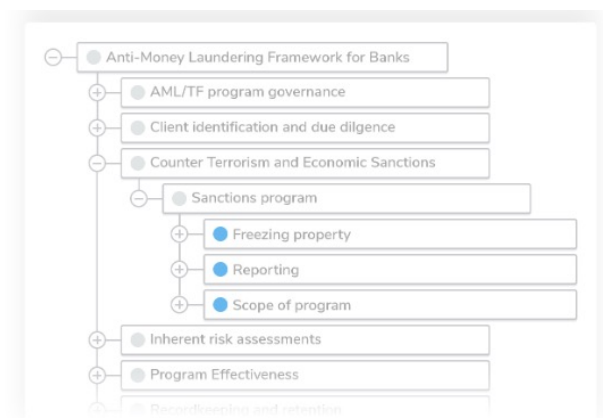
Experience streamlined compliance through powerful automation and comprehensive regulatory change made simple.

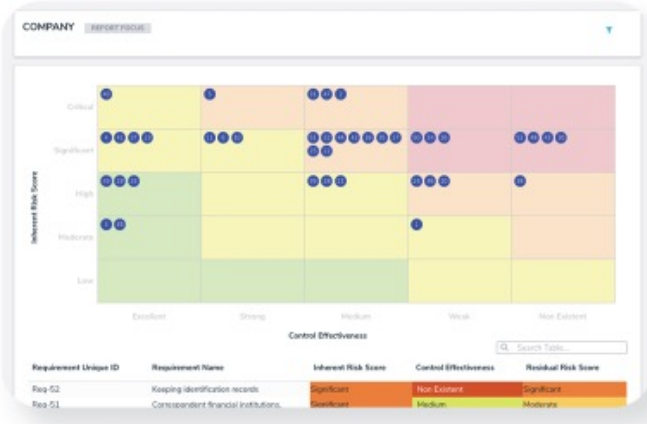
Regulatory Compliance Management

Discover a streamlined and efficient approach to compliance with Resolver's powerful automation that simplifies handling regulatory change. Our comprehensive governance, risk, and compliance solution is designed to save you valuable time spent on reporting, empowering financial institutions like yours to enhance agility and efficiency.

Automated Regulatory Updates

Stay ahead of regulatory changes effortlessly with Resolver's integrated compliance and AI-powered Reg-Tech solution. Our integrated tool ensures that your compliance teams have instant access to relevant obligations, minimizing non-compliance risk through automated notifications and an updated library of requirements, policies, and procedures.



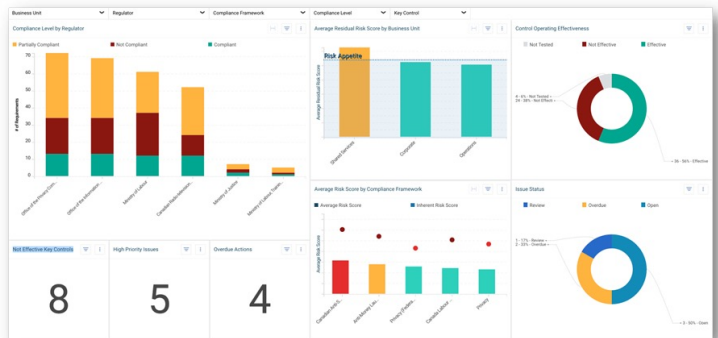


Improved Engagement & Compliance Testing Efficiencies

Conduct continuous compliance risk assessments, allowing control owners to report on control efficacy while audit, risk, and compliance teams review and complete testing. Benefit from a comprehensive audit trail and a centralized library of controls, obligations, and risks, eliminating redundancy and streamlining audit procedures.

More Informed Decision-Making

Gain access to compliance program dashboards to assess the full breadth of compliance risks across your business, monitor compliance status by regulator, analyze average risk scores by framework, and measure control effectiveness. Improve transparency, strengthen controls, and optimize resource allocation for non-compliant business areas.



Comprehensive Regulatory Coverage

Resolver seamlessly integrates with AI-powered Reg-Tech solutions to simplify the regulatory change process. Gain complete visibility over the regulatory landscape, ensuring comprehensive coverage and driving risk-based prioritization of compliance activities. With our integrated compliance solution, financial institutions can enhance efficiency, effectiveness, and ultimately drive better business results.

Regulators and Frameworks

- California Consumer Privacy Act
- Consumer Financial Protection Bureau
- Federal Deposit Insurance Corporation
- Federal Reserve Board
- FinCEN
- FINRA
- Office of the Comptroller of the Currency
- Securities Exchange Commission
- And more Federal, State, and Global Regulators

Regulatory Frameworks Library

Gain complete visibility over the regulatory landscape, ensuring comprehensive coverage and driving efficiency & prioritization of compliance activities. Here is a list of a few popular regulatory frameworks offered by Resolver in partnership with industry and region-specific AI Reg-Tech providers.

With our integrated compliance solution, Resolver serves financial institutions across:

Retail and Wholesale Banking (Banks, Credit Unions +); Mortgage Services - Consumer Finance (Mobile, Internet); Fintech; Crypto and Digital Assets; Capital Markets (Securities; Commodities); Payment Services and Card Networks; AML/CFT; Privacy; Consumer Protection

- Securities and Exchange Commission (SEC)
- Anti-Money Laundering (BSA/AML)
- Consumer Financial Protection Bureau (CFPB)
- Federal Deposit Insurance Corporation (FDIC)
- Financial Crimes Enforcement Network (FinCEN)
- Commodity Futures Trading Commission (CFTC)
- National Futures Association (NFA)
- National Credit Union Administration (NCUA)
- Federal Reserve Board (FRB)
- Office of Foreign Assets Control (OFAC)
- Federal Housing Administration (FHA)
- Housing and Urban Development (HUD)
- Office of the Comptroller of the Currency (OCC)
- Financial Industry Regulatory Authority (FINRA)
- New York Stock Exchange (NYSE)
- New York Department of Financial Services (NYDFS)
- Department of Veteran Affairs (VA)
- Department of Justice (DOJ)
- California Consumer Privacy Act (CCPA)
- General Data Protection Regulation (GDPR)
- Trading Venue and Market Utilities Rulebooks
- 50 State level laws and regulations, examples;
 - New York Department of Financial Services
 - California Department of Financial Protection and Innovation
 - Texas Department of Banking and many others!

Experience compliance that's simplified, efficient, and powerful with Resolver's Regulatory Compliance Management solution. Contact us today to see how we can transform your compliance processes.

Want to Learn More? Let's talk.

resolver.com/request-demo | 1 (888) 316-6747